

Beneficial Ownership Determination Form

HSBC InvestDirect

Complete this form when a Non-Individual Account (i.e. Corporation, Trust or an Informal Trust etc.) has identified a beneficial owner that is not an authorized individual. A beneficial owner is an individual(s) who ultimately owns directly or indirectly a minimum of 10% interest in a corporation or similar entity, or that is settlor or beneficiary of the trust. A similar non-individual entities include private companies, partnerships, limited partnerships, investment clubs, Trusts or other similar entities that would have an identifiable owner. Please note that this form is not applicable to charities, churches, fraternal organizations, government organizations, publicly traded companies/trusts, testimony trusts, affiliates of public traded corporations, banks loan or trust companies, credit unions, caisse populaire, insurance company, mutual fund management company pension fund, securities dealer or broker investment manager in Canada or similar entity defined under IDA By-law 18.8 are not considered non-individual accounts.

OWNERSHIP	
Account Number:	Percentage: Owned/Interest:

BENEFICIAL OWNER'S PERSONAL INFORMATION			
Title:	Last Name (Legal):	First Name (Legal):	Middle Name (Legal):
Marital Status:	Date of Birth:	Citizenship (List all countries):	

BENEFICIAL OWNER'S HOME ADDRESS			
Street:			Apt. #/Suite #:
City:	Province:	Postal Code:	Country:

BENEFICIAL OWNER'S EMPLOYMENT INFORMATION		
Employer's Name:	Employer's Address:	
Type of Business:	Occupation/Position:	Years with Employer:

ADDITIONAL INFORMATION		
1. Are you or your spouse an insider as defined by the applicable legislation (e.g. a director, a senior officer, own 10% or more of the voting rights of a publicly traded company (i.e. company shares are traded on a stock exchange or on an O-T-C market) or any of its subsidiaries	You <input type="checkbox"/> Yes <input type="checkbox"/> No	Your Spouse <input type="checkbox"/> Yes <input type="checkbox"/> No
2. Do you or your spouse, either alone or part of a group, own or control 20% or more of the voting rights of any publicly traded company? If yes, please state the name(s) of the company(ies):	You <input type="checkbox"/> Yes <input type="checkbox"/> No	Your Spouse <input type="checkbox"/> Yes <input type="checkbox"/> No

PLEASE DO NOT COMPLETE ANY INFORMATION IN THIS SECTION (FOR INTERNAL USE ONLY)				
1.	The individual conducting the verification of the Beneficial Owner's identity must review an original government issued identification in compliance with Proceed of Crime (Money Laundering) laws.			
	Government Issued Identification Reviewed	Identification Number	Place of Issuance	Date of Expiry <small>MM / DD / YY</small>
2.	<input type="checkbox"/> A member of the HSBC Group of companies referred the client. (Please note: The Group Introductory Form and copy of identification must be attached to this document.			
3.	<input type="checkbox"/> The Beneficial Owner supplied a cheque with this document	Cheque No.	Cheque Amount:	
4.	For Trusts Only – If relying on the information provided by the Settlor and/or Trustee(s), please indicate reason for doing so: <input type="checkbox"/> Settlor/Trustee(s) does not wish to identify the beneficiaries outside of the Trust or to each other. <input type="checkbox"/> Beneficiaries are minors			

SIGNATURES		
I certify that the information provided by me in this document is true and complete and I agree to advise you immediately of any material change in the information. HSBC InvestDirect is authorized to provide to any governmental, regulatory or self-regulatory authority such information with regard to the Client's Account upon request. I hereby authorize HSBC InvestDirect to obtain a credit report about me, as required, so that HSBC InvestDirect may meet its legal and regulatory requirements.		
Beneficial Owner/Authorized Person's Signature:	Date (MM/DD/YY)	
Witness' Name (HSBC Employee):	Witness' Signature (HSBC Employee):	Date (MM/DD/YY)
Branch Manager's Name:	Branch Manager's Signature	Date (MM/DD/YY)