

Trading Authorization Form

Account Number

Please Read Carefully

In this trading authorization, the words "I", "me" and "my" mean the undersigned client(s), and the words "you", "your", "yours" and "HSBC InvestDirect" means HSBC InvestDirect, a division of HSBC Securities (Canada) Inc.

1. I appoint _____ as my agent ("agent") with respect to one or more brokerage accounts with you provided that this authority is limited to only power to buy, sell (including short sales) and/or trade, in securities and options, on margin, or otherwise, all in accordance with your general terms and conditions and with the usual practice of brokers, subject to the constitution, by-laws, rules, regulations, customs and usages in existence from time to time of the stock exchange or market (and its respective clearing house, if any) where the transaction is made to all laws, regulations and orders of any governmental or regulatory authority that may be applicable.
2. This trading authorization shall apply to my account held with you as noted above. I hereby ratify and confirm any and all transactions by the agent made after the date of the agent's appointment and any and all transactions previously made by the agent but not yet settled and agree to indemnify and hold you harmless against any loss, liability or damage, cost and expenses arising in any way out of such transactions.
3. This trading authorization and indemnity shall remain in full force and effect and shall survive any incidental, temporary or intermittent closing out, or reopening or renumbering of my account with you and shall continue in favour of you and your successors and assigns until you receive written notice of revocation or proof of my death at your Head Office in Toronto, Ontario. Any revocation or termination shall in no way affect the indemnity herein contained respecting any action taken by my agent.
4. Each party hereto has requested that this trading authorization and all documents and notices be drawn up in the English language. Les parties conviennent que la présente convention et tous les documents s'y rattachant soient rédigés en anglais.

Important Information

1. I understand that you are not advising me to appoint an agent to make decisions for me. This is a decision that I alone can make.
2. I understand whenever I give someone else the authority to manage my property, there is a risk that they will make errors, exercise poor judgment or even behave dishonestly causing a loss to me. It is therefore imperative that I consider seriously whether the person whom I have chosen to appoint as my agent is trustworthy and capable of making good decisions on my behalf.
3. You and the agent understand that under Canadian securities laws registration as an adviser is required before a person can engage in the business of advising or holding himself or herself out as being in the business of advising.
4. You and the agent further understand that the Canadian Securities Regulatory Authorities have stated that (among other factors) receiving, or expecting to receive, any form of compensation for carrying on an advisory activity (such as making specifically tailored trading recommendations for a client) would be indicative of engaging in the business of advising.
5. HSBC InvestDirect may also terminate the agent's trading authorization over your account at any time for any reason in its sole discretion. In the event HSBC InvestDirect terminates the agent's trading authorization over your account, HSBC InvestDirect will provide written notice to both the client and the trading agent.

6. The appointment of an agent does not negate or minimize any rights that HSBCInvestDirect has under the Client Terms and Conditions and you shall remain liable for all amounts owing on your account. Even though you granted trading authorization to another, you should be diligent to closely scrutinize and review what transactions occur in your account. As an Order Execution Only Account, HIBC has no obligation to conduct any trade by trade suitability and shall not provide any investment recommendations or advice.

Agent:

1. Agent's Information:

First Name: _____ Last Name: _____

Home Address : _____ (P.O. Boxes and c/o not accepted)

City: _____ Province: _____ Postal Code: _____

Telephone: Home _____ Business/Day: _____

Date of Birth(MM/DD/YYYY): _____

Employer: _____ Occupation: _____

Type of Business: _____

2. Relationship to Applicant:

I. Are you an immediate family member of the Applicant(s)?

(By immediate family member we mean: spouse, parent, sibling, child, grandparent, grandchild, and/or any other family member who shares the Applicant's home)

If YES, specify the relationship: _____

If NO, complete a, b, c and d below.

(a) Specify the relationship: _____

(b) Are you receiving any form of direct or indirect compensation from the Applicant or charging a fee to the Applicant to act as agent and buy, sell and/or trade, in securities Yes / No

If YES, provide details: _____

(c) Do you have financial interest in the account? Yes / No

If YES, explain the nature of the financial interest _____

(d) Are you registered/licensed to provide financial advice in the province where the client/account holder resides? Yes / No

If YES, please provide the following: the name of the Canadian securities regulatory authority, the name of the registrant firm that you are registered with and your National Registration Database (NRD No.), if applicable. NRD No: _____

If NO, you are not registered/licenced with the Canadian securities regulatory authorities and have responded Yes to (b) that you are being compensated, please provide detailed commentary as to why you are not registered.

Details: _____

II. Are you a partner, director, officer or employee of a Member firm of the Investment Industry Regulatory Organization of Canada or a relative of any such individual living in the same household? Yes / No

If YES, please provide the name of the Member firm and provide the required authorization letter from the Member firm: _____

III. Do you hold or have trading authority on any other accounts with HSBC? Yes / No

If YES, how many accounts: _____

3. Insider Status

I. Are you or your spouse/partner a director, senior officer, 10% shareholder or more, or insider of any reporting issuer?

(complete a and b)

a) If YES, please indicate the name of issuer(s): _____, _____

b) If your spouse, indicate the name of issuer(s): _____, _____

II. Do you or your spouse/partner, as individuals or in combination with others, control (directly or indirectly) more than 20% of the voting securities of any reporting issuer? Yes / No

a) If yes, please indicate the name of issuer(s) : _____

IMPORTANT: If the you answered « **yes** » to either question I or II of this section, you confirm that you are aware of your obligation to report purchases or sales and possible restrictions on trading of these securities according to applicable securities legislation.

Applicant Signatures

The signatures on this form must be witnessed by someone other than the applicant, co-applicant, agent, spouse or common-law partner of any of these persons. A person may witness all signatures and each signature must be witnessed. The witness must be present when the applicant, co-applicant and/or agent sign and must then sign as a witness.

Applicant's Name: _____ Applicant's Signature: _____ Date: (MM/DD/YYYY)

Co-Applicant's Names: _____ Co-Applicant's Signature: _____ Date: (MM/DD/YYYY)

Witness Signature for Applicant _____ Date: (MM/DD/YYYY) _____

Agent Acceptance and Signature

I accept the appointment as Agent and confirm that I have read and understood this authorization and HSBC InvestDirect general Terms and Conditions as they will apply to the operation of the Applicant's account and I agree to adhere to the same. I represent and warrant that I am familiar with the investment objectives of the applicant(s) and that the information included above is accurate and complete. I hereby authorize HSBC InvestDirect to review the personal information I have provided above and update my HSBC InvestDirect Investing account(s) and client information with the foregoing information, as necessary.

Please be advised that HSBC InvestDirect is required to collect and confirm the foregoing personal information during the course of our relationship with the Applicant to fulfill our legal, regulatory and self-regulatory obligations in Canada and in some cases, abroad. We may obtain this information from a variety of sources, including from credit reporting agencies and other financial institutions, as is necessary. You acknowledge receipt of notice that from time to time reports about you may be obtained by us from credit reporting agencies.

Signature of Agent: _____ Date: (MM/DD/YYYY) _____

Witness Signature for Agent: _____ Date: (MM/DD/YYYY) _____

Authorized Individual Information Form

Personal Information

 Mr. Mrs. Miss Dr.

Last Name (Legal) First Name (Legal) Middle Name (Legal)

Home Tel. # Business Tel. #

Mobile Tel. # E-mail Address

Residence Address (P.O. Boxes, G.D. or c/o not accepted) Apt./Suite#

City Province Postal Code

Country of Residence Citizenship (list all countries)

Country of Birth SIN Date of Birth (mm/dd/yyyy)

Employment Status

 Employed Self-Employed Student
 Retired* Homemaker Not Working*

*Retired or Not Working: Provide details of most recent job.

Employment Information

Employer's Name Type of Business

Occupation/Position Years with Employer

Employer's Address

City Province Postal Code

Marital Status and Spousal Information

 Married Single Divorced Widowed Common Law

Name of Spouse

Spouse's Employer's Name Type of Business

Spouse's Occupation/Position Years with Employer

Other Information

1. Are you, your spouse, or anyone you reside with, employed by a securities dealer, IIROC Member firm, Stock Exchange or member of a Stock Exchange? If yes, please state the name of the firm and provide a compliance letter : _____ Yes No
2. Are you, your spouse, or anyone you reside with, the CEO, CFO, COO or a Director of a publicly traded company ("a reporting issuer")? Yes No
3. Are you, your spouse, or anyone you reside with, the CEO, COO or CFO of a major subsidiary of a reporting issuer? (Major subsidiary is defined as a subsidiary of an issuer whose assets or revenue comprise 30% of the consolidated assets or revenue of the issuer) Yes No
4. Are you, your spouse, or anyone you reside with, a Significant Shareholder of a reporting issuer? In other words, do you, your spouse or anyone you reside with hold more than 10% of the voting rights of the issuer's outstanding voting securities, including any convertible securities that are convertible within 60 days that would put you over the 10% limit? Yes No
5. Are you, your spouse, or anyone you reside with, a control person (holding more than 20%) in a reporting issuer's outstanding voting securities? Yes No
6. Are you, your spouse, or anyone you reside with, a director or CEO, COO or CFO of a management company that provides significant management or administrative services to a reporting issuer or a major subsidiary of a reporting issuer? Yes No
7. Even if questions 2 to 6 above do not apply, do you, your spouse, or anyone you reside with, receive or have access to material non-public information of a reporting issuer given the nature of the employment (i.e. finance, technology)? Yes No
8. Do you, your spouse, or anyone you reside with, exercise "significant power or influence" over the decisions of a reporting issuer? Yes No
9. Name any reporting issuers (including symbol) to which a "Yes" answer applies on questions 2 to 8 above: _____
10. Are you (or any members of your immediate family) currently, or have you (or any members of your immediate family) in the past, been employed in any of the following positions: (If yes, please check all applicable boxes and list the details of the individuals) Yes No

<input type="checkbox"/> a head of state or government <input type="checkbox"/> a member of the executive council of government or member of a legislature; <input type="checkbox"/> a deputy minister (or equivalent); <input type="checkbox"/> an ambassador or an ambassador's attaché or counselor <input type="checkbox"/> a military general (or higher rank);	<input type="checkbox"/> a president of a state owned company or bank; <input type="checkbox"/> a head of a government agency; <input type="checkbox"/> a federal judge; or <input type="checkbox"/> a leader or president of a political party in a legislature.
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If answered yes to question 10, please indicate the details of the individual(s) below:

Full Name	Relationship	Description
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Privacy Consent

Please read the "Client Information Consent Agreement" section of the Client Terms and Conditions booklet. I consent to the collection, use and disclosure of Client Information in the manner and for the purposes specified in the Client Terms and Conditions.

I agree to the following optional uses of my Personal Information:

1. HSBC InvestDirect may collect and use my personal information and, where permitted by law, share it within the HSBC Group, to identify and inform me of products and services provided by the HSBC Group that may be of interest to me.
2. HSBC InvestDirect may collect and use my Personal information to promote the products and services of select third parties that may be of interest to me; and
3. HSBC InvestDirect may collect, use and share my SIN for the additional optional purposes of conducting Financial Crime Risk Management Activities, and for internal audit, security, statistical, and record keeping purposes.

Yes No (Default - unless instructed otherwise)

I may at any time refuse or withdraw my consent to 1,2, or 3 above by contacting HSBC at 1-800-760-1180; or visiting the HSBC InvestDirect website at www.investdirect.hsbc.ca. I understand that if I do refuse or withdraw my consent to 1,2, or 3 it will not affect my eligibility for products or services.

Signature

I verify that I have carefully reviewed the applicable section of the Client Terms and Conditions with respect to suitability reviews and I understand and acknowledge that HSBC InvestDirect does not provide investment advice or recommendations regarding any investment decisions or securities transactions and that HSBC InvestDirect will not determine the general investment needs and objectives or the suitability of any investment decisions or securities transactions.

I acknowledge that I have sole responsibility for all investment decisions and securities transactions and I understand that orders may be sent directly to the exchange or market without prior review by HSBC InvestDirect.

I agree to comply with all applicable rules and customs of the Investment Industry Regulatory Organization of Canada and those governing the exchanges or markets (and their clearing houses, if any) where the orders are executed. HSBC InvestDirect, however, reserves the right to review any transactions prior to the exchange or market and to reject, change or remove any order for credit reasons or non-compliance with the requirements of those exchanges, markets or securities regulations.

I acknowledge and agree that a credit check may be performed on me.

x

Signature – Authorized Individual

Date (mm/dd/yyyy)

Internal Use Section

Method of Anti Money Laundering Verification

Face to Face Date of Verification (mm/dd/yyyy): _____

ID#1

Type of ID Verified:

Drivers License Passport Other: _____

ID Number: _____

Place of Issuance: _____

Expiry Date: _____

Verified by: Name: _____

Entity: HSBC Bank Other: _____

Branch Location/Transit Number: _____

ID#2

Type of ID Verified:

Drivers License Passport Other: _____

ID Number: _____

Place of Issuance: _____

Expiry Date: _____

Verified by: Name: _____

Entity: HSBC Bank Other: _____

Branch Location/Transit Number: _____

Non Face to Face (Canadian Residents Only)

Credit Bureau (plus one of the following) Bank Reference Letter/Group Introduction Form Cheque (in name of individual) attached for clearing

Bank Name: _____ Cheque Number: _____ Cheque Amount: \$ _____

HIDC Reviewing Representative Comments

Comments: _____

Representative Name: _____ Date (mm/dd/yyyy): _____