



Trusted Contact Person Information

HSBC InvestDirect*

At HSBC, we're committed to the investor protection of our clients.

We are required to ask you to provide us the name and contact information of a Trusted Contact Person (**TCP**). A TCP is an individual identified by you whom we can contact to confirm or ask about the following topics:

- Possible financial exploitation affecting you or your account with HSBC InvestDirect
- Concerns about your mental capacity as it relates to financial decision-making
- The identity and contact information of any legal guardian, executor, trustee or other personal or legal representative
- Your current contact information, if we are not able to confirm it with you

We recommend you provide us with a TCP to help us in protecting your investments with us.

The contact you provide should be someone who is trusted, is mature, and can communicate and have potentially difficult conversations with us about your personal situation. (For example, a family member, a friend, or a professional you know you can depend on.) This TCP is only for your accounts at HSBC InvestDirect.

Naming a TCP is not the same as appointing a Power of Attorney and doesn't give that person authority to make decisions about your accounts. We encourage you to choose a TCP who is **not** involved with making decisions about your account. (For example, we don't recommend choosing your joint account holder.)

After you name a TCP, you can make changes at any time. This includes changing a TCP or withdrawing your consent allowing us to contact your TCP. Contact us at 1-800-760-1180 or email investdirect@hsbc.ca.

Please complete the information requested below and return to us by email at investdirect@hsbc.ca or by mail to:

HSBC InvestDirect
Suite 102 - 1725 16th Avenue, Box# 5
Richmond Hill, ON L4B 4C6

Would you like to appoint a Trusted Contact Person (TCP)?

- Yes, I would like to appoint a TCP or change my TCP (the TCP indicated below will replace any existing TCP who I have previously appointed).
- No, I don't want to appoint a TCP at this time and want to remove any existing TCP I've previously appointed.

Designating your Trusted Contact Person

By providing the information below and signing this form:

- You designate the person identified as your Trusted Contact Person (TCP) and request that we add your TCP to all your existing and future accounts opened with HSBC InvestDirect (HIDC).
- You authorize HIDC to contact your TCP and disclose to them personal information about your HIDC account(s) if we determine we need to confirm or ask about any of the topics above.
- You confirm that you have the consent of your TCP to provide us with their contact information, and that you have or will inform your TCP that you've provided us with their contact information, and will inform them of the circumstances when we may contact them.

* HSBC InvestDirect is a division of HSBC Securities (Canada) Inc.

Trusted Contact Person Information

Mandatory Information

Mr.

Ms.

Suffix: Jr.

Mrs.

Dr.

Sr.

First Name

Last Name

Nature of Relationship

Primary Contact Phone No. Mobile Home Work

Address

Email Address (optional)

Signature – Authorized Person

Client Name

Client Signature

Date

Client Personal Account Number